UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
Pursuant to Section 13 OR 15(d)
of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): June 16, 2020

MaxLinear, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation) 001-34666 (Commission File Number) 14-1896129 (I.R.S. Employer Identification No.)

5966 La Place Court, Suite 100, Carlsbad, California 92008 (Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: (760) 692-0711

 $\label{eq:NA} N\!/\!A$ (Former name or former address, if changed since last report)

Ch	neck the appropris	ate box below if the	Form 8-K filing i	s intended to s	simultaneously	satisfy the filing	g obligation of th	he registrant u	nder any of the f	following provision	ns (<u>see</u> Gene	ral
Instruction	n A.2. below):											
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- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- □ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
 - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- □ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common stock, \$0.0001 par value	MXL	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2).

Emerging	growth	company	П
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If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. \Box

Item 7.01 Regulation FD Disclosure.

On June 16, 2020 MaxLinear, Inc. announced a security incident resulting from a Maze ransomware attack affecting certain but not all operational systems within our information technology infrastructure. The ransomware attack has not materially affected our production and shipment capabilities, and order fulfillment has continued without material interruption. We have no plans to satisfy the attacker's monetary demands. On June 15, 2020, the attacker released online certain proprietary information. We have engaged a third party capable of safely evaluating information posted on malicious websites to advise us with respect to the content of the information posted.

MaxLinear's internal information technology team, supplemented by a leading cyber defense firm, has been actively taking steps to contain and assess this incident. We have been able to reestablish certain affected systems and equipment, and this work is on-going. Although we have incurred and will incur incremental costs as a result of forensic investigation and remediation, we do not currently expect that the incident will materially or adversely affect our operating expenses. We carry cybersecurity insurance, subject to applicable deductibles and policy limits. We have also engaged with the appropriate law enforcement authorities.

The information in this Current Report on Form 8-K shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 (the "Exchange Act"), or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933 or the Exchange Act, except as expressly set forth by specific reference in such a filing.

Forward-Looking Statements

This filing contains forward-looking statements within the meaning of the "safe harbor" provisions of the Private Securities Litigation Reform Act of 1995, including, but not limited to, express or implied forward-looking statements relating to our expectations regarding our ability to contain and assess the ransomware attack; our ability to reestablish affected systems; and the potential impact of the ransomware attack on our revenues, operating expenses, and operating results. These statements are based on management's current expectations and beliefs and are subject to a number of factors and uncertainties that could cause actual results to differ materially from those described in the forward-looking statements. Forward-looking statements may contain words such as "will be," "will," "expect," "anticipate," "continue," or similar expressions and include the assumptions that underlie such statements. The following factors, among others, could cause actual results to differ materially from those described in the forward-looking statements: Any inability to reestablish compromised systems quickly and to ship products during the current quarter; incremental operating expenses associated with MaxLinear's on-going assessment of the ransomware attack; legal, reputational, and financial risks resulting from the ransomware attack; and other factors affecting the business, operating results, and financial condition of MaxLinear, including those set forth in MaxLinear's most recent Annual Report on Form 10-K, Quarterly Report on Form 10-Q, and Current Reports on Form 8-K, as applicable, as filed with the Securities and Exchange Commission. All forward-looking statements are based on the estimates, projections, and assumptions of MaxLinear management, as applicable, as of the date hereof, and MaxLinear is under no obligation (and expressly disclaims any such obligation) to update or revise any forward-looking statements whether as a result of new information, future events, or otherwise.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: June 16, 2020 MAXLINEAR, INC.

(Registrant)

By: /s/ Steven G. Litchfield

Steven G. Litchfield

Chief Financial Officer and Chief Corporate Strategy Officer