# UNITED STATES SECURITIES AND EXCHANGE COMMISSION 

Washington, D.C. 20549
longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940
(Print or Type Responses)

| 1. Name and Address of Reporting Person ${ }^{*}$ WALSH BRENDAN |  |  | 2. Issuer Name and Ticker or Trading Symbol MAXLINEAR INC [MXL] |  |  |  |  |  | 5. Relationship of Reporting Person(s) to Issuer <br> (Check all applicable) <br> Director $\quad 10 \%$ Owner <br> X_Officer (give title below)Other (specify below) <br> VP, Product Line Marketing |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| (Last) <br> (First) (Middle) C/O MAXLINEAR, INC., 5966 LA PLACE COURT, SUITE 100 |  |  | 3. Date of Earliest Transaction (Month/Day/Year) $11 / 30 / 2020$ |  |  |  |  |  |  |  |  |
| (Street) |  |  | 4. If Amendment, Date Original Filed(Month/Day/Year) |  |  |  |  |  | 6. Individual or Joint/Group Filing(Check Applicable Line) <br> _X_Form filed by One Reporting Person $\qquad$ Form filed by More than One Reporting Person |  |  |
| (City) | (State) | (Zip) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |  |  |  |  |  |  |
| 1.Title of Security(Instr. 3) |  | 2. Transaction Date <br> (Month/Day/Year) | 2A. DeemedExecution Date, ifany(Month/Day/Year) | 3. Transaction Code (Instr. 8) |  | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |  |  | 5. Amount of SecuritiesBeneficially Owned FollowingReported Transaction(s)(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|  |  |  |  | Code | V | Amount | (A) or (D) | Price |  |  |  |
| Common Stock ${ }^{(1)}$ |  | 11/30/2020 |  | M |  | 1,119 | A | \$ 0 | 180,700 | D |  |
| Common Stock |  | 11/30/2020 |  | F |  | 1,119 | D | $\begin{aligned} & \$ \\ & 31.25 \end{aligned}$ | 179,581 | D |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
Persons who respond to the collection of information
contained in this form are not required to respond unless the
form displays a currently valid OMB control number.
SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. <br> Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | $\left.$4. 5. <br> Transaction  <br> Code  <br> (Instr. 8) Number <br> of <br> Derivative <br> Securities <br> Acquired <br> (A) or <br> Disposed <br> of (D) <br> (Instr. 3, <br> 4, and 5) \right\rvert\, |  |  |  | 6. Date Exercisable and Expiration Date (Month/Day/Year) |  | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. <br> Ownership <br> Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Restricted <br> Stock <br> Units | (1) | 11/30/2020 |  | M |  |  | 406 | (2) | (2) | $\begin{aligned} & \text { Common } \\ & \text { Stock } \end{aligned}$ | 406.00 | \$ 0 | 865 | D |  |
| Restricted Stock Units | (1) | 11/30/2020 |  | M |  |  | 713 | (3) | (3) | $\begin{gathered} \text { Common } \\ \text { Stock } \end{gathered}$ | 713.00 | \$ 0 | 9,092 | D |  |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
|  | Director | $10 \%$ <br> Owner | Officer | Other |
| WALSH BRENDAN <br> C/O MAXLINEAR, INC. <br> 5966 LA PLACE COURT, SUITE 100 <br> CARLSBAD, CA 92008 |  |  |  |  |

## Signatures

## Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
(1) Each restricted stock unit ("RSU") represents a contingent right to receive one share of MaxLinear, Inc. Common Stock.

Subject to the Reporting Person's continuing to be a Service Provider (as defined in the 2010 Equity Incentive Plan) through each applicable vesting date, one-sixteenth (1/16th) of the 13,836 RSUs subject to the award shall vest on May 20, 2017, and an additional one-sixteenth ( $1 / 16$ th ) of the RSUs subject to the award shall vest on each successive August 20,
(2) November 20, February 20 and May 20 thereafter, such that the award will be fully vested on February 20, 2021. Reporting Person deferred delivery of shares of Common Stock (2) issuable upon the vesting of RSUs on November 20, 2020. On November 30, 2020, the deferred shares of Common Stock were delivered to the Reporting Person. Shares withheld by the Company to satisfy tax withholding obligations (and the net issuance) is based on the closing price of the Company's Common Stock in trading on the New York Stock Exchange on November 30, 2020.
Subject to the Reporting Person continuing to be a Service Provider (as defined in the 2010 Equity Incentive Plan) through each applicable vesting date, one-sixteenth (1/16th) of the 24,245 RSUs subject to the award shall vest on August 20, 2018, and an additional one-sixteenth ( $1 / 16$ th ) of the RSUs subject to the award shall vest on each November 20, February
(3) 20 , May 20, and August 20 thereafter, such that the award will be fully vested on May 20, 2022. Reporting Person deferred delivery of shares of Common Stock issuable upon the vesting of RSUs on November 20, 2020. On November 30, 2020, the deferred shares of Common Stock were delivered to the Reporting Person. Shares withheld by the Company to satisfy tax withholding obligations (and the net issuance) is based on the closing price of the Company's Common Stock in trading on the New York Stock Exchange on November 30, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

