
**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549

**FORM SD
SPECIALIZED DISCLOSURE REPORT**

MaxLinear, Inc.

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction
of incorporation)

001-34666
(Commission
File Number)

5966 La Place Court, Suite 100, Carlsbad, California 92008
(Address of principal executive offices) (Zip Code)

Kishore Seendripu, Ph.D.
President and Chief Executive Officer
(760) 692-0711

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

- Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2023.
 Rule 13q-1 under the Securities Exchange Act (17 CFR 240.13q-1) for the fiscal year ended December 31, 2023.
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Section 1 - Conflict Minerals Disclosure

Item 1.01 Conflict Minerals Disclosure and Report

Conflict Minerals Disclosure

For the reporting period from January 1, 2023 to December 31, 2023, MaxLinear, Inc. determined that certain “conflict minerals,” as defined in Section 1, Item 1.01(d) (3) of Form SD, are necessary to the functionality or production of the semiconductors that we contract to manufacture through foundries in Asia. Accordingly, we conducted a reasonable country of origin inquiry to determine whether during 2023 our products contained conflict minerals that originated in the Democratic Republic of the Congo or certain adjoining countries, which we refer to collectively as the “covered countries,” or are from recycled or scrap sources. We then conducted due diligence designed to conform to the Organisation for Economic Co-operation and Development Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas in order to determine whether any of the conflict minerals used in our products during 2023 originated from any of the covered countries and whether these conflict minerals benefited, directly or indirectly, armed groups in any of the covered countries.

In accordance with Rule 13p-1 promulgated under the Securities Exchange Act of 1934, as amended, and this Specialized Disclosure Report on Form SD, we have filed a Conflict Minerals Report, which is attached as Exhibit 1.01 hereto. A copy of this Form SD and the Conflict Minerals Report are publicly available at <https://investors.maxlinear.com/sec-filings>.

Item 1.02 Exhibit

In accordance with Rule 13p-1, and this Form SD, we have filed our Conflict Minerals Report, which is attached as Exhibit 1.01 to this Form SD.

Section 2 - Exhibits

Item 2.01 Exhibits

The following exhibit is filed as part of this Form SD.

| <u>Exhibit No.</u> | <u>Description</u> |
|--------------------|--|
| 1.01 | MaxLinear, Inc. Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form SD. |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

Date: May 29, 2024

MAXLINEAR, INC.

(Registrant)

By: /s/ Kishore Seendripu, Ph.D.
Kishore Seendripu, Ph.D.
President and Chief Executive Officer

MaxLinear, Inc.

Conflict Minerals Report

For The Year Ended December 31, 2023

Cautionary Note Concerning Forward-Looking Statements: This Conflict Minerals Report contains forward-looking statements within the meaning of federal securities laws. These forward-looking statements include statements concerning MaxLinear's objectives for its conflict minerals policy and compliance initiatives and actions it intends to take relating to conflict minerals. Forward-looking statements involve substantial risks and uncertainties that could cause actual results to differ materially from currently anticipated results. When considering forward-looking statements, you should consider, among other factors, the risk factors described in the reports and other filings that MaxLinear files with the United States Securities and Exchange Commission, including MaxLinear's Annual Report on Form 10-K for the year ended December 31, 2023 and its subsequent Quarterly Reports on Form 10-Q. The risk factors included in these filings are not exhaustive, and risks that are not identified therein could materially affect whether MaxLinear realizes the results anticipated or implied by any forward-looking statements contained in this Conflict Minerals Report. Except as required by law, MaxLinear disclaims any obligation to update these forward-looking statements, whether as a result of new information, future events, or otherwise.

Introduction

This Conflict Minerals Report (this "Report") for MaxLinear, Inc. ("MaxLinear" or "we" or "our") covers the reporting period from January 1, 2023 to December 31, 2023 and is presented in accordance with Rule 13p-1 promulgated under the Securities Exchange Act of 1934, as amended ("Rule 13p-1"). This Report is filed as Exhibit 1.01 to our Specialized Disclosure Report on Form SD (the "Form SD"). A copy of this Report and the Form SD are publicly available on our website at <https://investors.maxlinear.com/sec-filings>.

In 2010, the United States enacted the Dodd-Frank Wall Street Reform and Consumer Protection Act (the "Act"). Section 1502 of the Act related to conflict minerals and requires companies subject to the Act to file a Form SD annually with the United States Securities and Exchange Commission ("SEC") to disclose whether the tungsten, tantalum, tin, and gold (referred to collectively as "3TG") used in their products benefited, directly or indirectly, armed groups in the Democratic Republic of the Congo and adjoining countries (collectively, the "Covered Countries"). This Report, which is an exhibit to our Form SD, describes the design and implementation of our conflict minerals due diligence measures undertaken in 2023, including a description of how these measures were designed to determine, to our knowledge, the source mines, countries of origin, and processing facilities for 3TG contained in components used in MaxLinear's products.

Background and Covered Products

MaxLinear is a provider of communications systems-on-chip (SoC) solutions used in broadband, mobile and wireline infrastructure, data center, and industrial and multi-market applications. As a fabless integrated circuit design company, we do not manufacture our products but contract for their manufacture through semiconductor foundries generally located in Asia. Our supply chain is complex, and multiple tiers exist between the mines from which 3TG are extracted and its incorporation into our products. We do not purchase raw ore or unrefined 3TG directly and make no purchases in the Covered Countries. As a result and as described more fully below, we rely on our foundries and suppliers to provide information on the origin of the 3TG contained in our products.

MaxLinear Covered Products

During 2023, MaxLinear contracted to manufacture and had manufactured a total of 878 integrated circuit products, or IC Products, (as measured by individual stock keeping units or SKUs) for our markets. We currently provide several types of broadband and communications semiconductor devices: radio frequency, or RF, receivers and RF Receiver System on Chip, or RF Receiver SoCs; wireless infrastructure backhaul RF receivers and modem SoCs; transimpedance amplifiers, or TIA's; laser modulator drivers; clock and data recovery circuits; interface solutions; power management; and date encryption and compression. Our semiconductor solutions combine various analog and mixed-signal technologies and functionality that traditionally required the use of multiple external discrete components. Substantially all of MaxLinear's revenues in calendar year 2023 were generated through sales of integrated circuit products.

MaxLinear also provides evaluation or demonstration circuit boards, or Board Products, to customers or prospective customers to allow them to test or validate MaxLinear's IC products. Board Products are marketing or business development tools that facilitate the sale of MaxLinear's IC Products. Because of their limited application, Board Products are manufactured and sold in very small quantities. Board Products are either assembled at MaxLinear facilities or manufactured for MaxLinear by third party

suppliers. The supplier base for Board Products varies widely and includes many small suppliers, including printed circuit board manufacturers, component distributors, component manufacturers and manufacturers of custom made hardware such as RF filters, connectors, cables, etc. The sales of Board Products represented approximately 1% of MaxLinear's revenues in calendar year 2023.

Reasonable Country of Origin Inquiry

In accordance with Rule 13p-1 and Form SD, MaxLinear determined that 3TG are necessary to the functionality or production of its integrated circuit products and that 3TG are incorporated into its products during the manufacturing process. Accordingly, we undertook a reasonable country of origin inquiry ("RCOI").

MaxLinear's RCOI consisted principally of submitting to its suppliers the conflict minerals reporting template (the "RMI Template") prepared by the Responsible Minerals Initiative ("RMI"), an initiative of the Responsible Business Alliance and Global e-Sustainability Initiative. MaxLinear submitted the RMI Template to all of its contract manufacturers and all of its first tier suppliers of components. MaxLinear then reviewed all responses for completeness, reasonableness, and consistency, and followed up for corrections and clarifications as MaxLinear determined appropriate.

Based on MaxLinear's RCOI, MaxLinear was unable to determine that the 3TG used in its products did not originate in Covered Countries or are from recycled or scrap resources.

Due Diligence Process

MaxLinear's due diligence measures were designed to conform, in all material respects, with the framework in the Organisation for Economic Co-operation and Development Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (the "OECD Framework"). The objectives of our diligence initiative were to determine, to the best of our ability, the source and chain of custody of the 3TG necessary for the functionality and/or production of our products; whether any such 3TG originated in the Covered Countries or are from recycled or scrap sources; and where such 3TG were determined to have originated in Covered Countries, whether armed groups directly or indirectly benefited from such 3TG.

Due diligence measures undertaken by MaxLinear included, but were not limited to, the following:

1. Establishment of Internal Management Systems

- a. *Conflict Minerals Policy.* In 2013, MaxLinear adopted and communicated to its foundries and suppliers a written policy relating to the use of conflict minerals in its supply chain. A copy of MaxLinear's Conflict Minerals Policy is publicly available at <https://www.maxlinear.com/support/quality/conflict-mineral-policy>.
- b. *Internal Management to Support Supply Chain Due Diligence.* MaxLinear has established an internal compliance team with members from its operations, quality, finance, and legal and compliance functions, and these individuals are charged with the management of our conflict minerals program.
- c. *Controls and Transparency.* As described above, MaxLinear undertook an RCOI with respect to conflict minerals in our supply chain by providing the RMI Template to each of our contract manufacturers and other first tier suppliers to gather information about their use of 3TG, the smelters and refiners in their supply chain that are included in our products, and the countries of origin or the recycled or scrap sources for 3TG used in our products. For 2023, the direct supplier response rate to us was greater than 95%.
- d. *Supplier Engagement.* MaxLinear continues to engage actively with its foundries and other first tier suppliers to strengthen its relationship with them. We have communicated to our foundries and other suppliers our commitment to source 3TG in a manner that does not, directly or indirectly, benefit armed groups in the Covered Countries, and we have communicated that we will consider alternative arrangements with other manufacturers or suppliers who are unable to cooperate in our due diligence efforts. Based on responses from our suppliers, in 2023, 95% of our direct suppliers have reported to us that they require their direct suppliers to source 3TG from smelters whose due diligence practices have been validated by an independent third party audit program.
- e. *Grievance Mechanism.* Employees and others may report, anonymously, violations or concerns about the conduct of our business, including our implementation and enforcement of our Conflict Minerals Policy under MaxLinear's confidential reporting policy and complaint procedures via MaxLinear EthicsConnect online reporting tool (<https://www.maxlinear.ethicspoint.com>) and/or hotline, both available in local languages.

2. Identification and Assessment of Risks in the Supply Chain

Because of our position within our supply chain, identifying actors upstream from our first tier contract manufacturers and suppliers is difficult. As discussed above, we identified our foundries and first tier suppliers and relied on them to provide the necessary information about the source of conflict minerals contained in the products that we contract to

have manufactured. Similarly, our foundries and first tier suppliers rely on information provided by their suppliers to provide information regarding the country of origin or the recycled or scrap sources of 3TG included in our products.

3. Designing and Implementing a Strategy to Respond to Identified Risks

We have developed a formal risk management plan through which our conflict minerals program is implemented, managed, and monitored. During calendar years 2013 to 2023 and continuing into 2024, where foundries or suppliers were unable to provide MaxLinear with complete or reliable responses to the RMI Template, we considered these matters internally. To date, we have not identified any circumstances where we concluded that it was necessary to terminate any contract or relationship or find a replacement manufacturer or supplier as a result of conflict minerals matters.

4. Carrying Out Independent Third-Party Audit of Supply Chain Due Diligence at Identified Points in the Supply Chain

We do not have a direct relationship with any smelters or refiners in our supply chain. As a result, we do not and cannot conduct any audits directly. Instead, we support the development and implementation of independent third party audits of smelters such as the RMI’s Responsible Minerals Assurance Process (“RMAP”) by encouraging our foundries and other suppliers to purchase materials from audited smelters that have been validated as conflict-free under the RMAP.

5. Reporting on Supply Chain Due Diligence

In May 2024, we publicly filed the Form SD and this Report with the SEC. A copy of this Report and the Form SD are publicly available at <https://investors.maxlinear.com/sec-filings>. This Report includes information about the RCOI methodology utilized by the Company, the design of our due diligence process in conformance with the OECD Framework, the list of known smelters and refiners utilized in our supply chain identified in our due diligence process, and a description of our products that incorporate 3TG necessary to the functionality or production of such products.

Smelters and Refiners in Supply Chain

We adopted RMI’s industry approach to trace back the origin of 3TG by identifying smelters, refineries, or recyclers and scrap supplier sources through our supply chain survey results. MaxLinear leveraged RMI and the RMAP to trace the mine of origin of the 3TG to its ore level. The RMAP audits smelters and refineries to ensure that all certified smelters and refineries use only the ores that are conflict free from the Covered Countries. Based on information that was provided by our foundries and other first tier suppliers or that was otherwise obtained through our due diligence process, we believe, to the extent reasonably determinable, that the following facilities were used to process 3TG contained in our covered products.

We have also provided information concerning whether the smelter participates in the RMAP and has been audited or whether it has agreed to participate in the RMAP but the audit process has not yet been completed.

| Metal | Smelter or Refiner Name | Country | RMAP Status* |
|--------------|---|--------------------------|---------------------|
| Gold | Advanced Chemical Company | UNITED STATES OF AMERICA | A |
| Gold | Aida Chemical Industries Co., Ltd. | JAPAN | C |
| Gold | Agosi AG | GERMANY | C |
| Gold | Almalyk Mining and Metallurgical Complex (AMMC) | UZBEKISTAN | C |
| Gold | AngloGold Ashanti Corrego do Sitio Mineracao | BRAZIL | C |
| Gold | Argor-Heraeus S.A. | SWITZERLAND | C |
| Gold | Asahi Pretec Corp. | JAPAN | C |
| Gold | Asaka Riken Co., Ltd. | JAPAN | C |
| Gold | Aurubis AG | GERMANY | C |
| Gold | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | PHILIPPINES | C |
| Gold | Boliden AB | SWEDEN | C |
| Gold | C. Hafner GmbH + Co. KG | GERMANY | C |
| Gold | CCR Refinery - Glencore Canada Corporation | CANADA | C |
| Gold | Chimet S.p.A. | ITALY | C |
| Gold | Chugai Mining | JAPAN | C |
| Gold | DSC (Do Sung Corporation) | KOREA, REPUBLIC OF | C |
| Gold | Dowa | JAPAN | C |

| Metal | Smelter or Refiner Name | Country | RMAP Status* |
|--------------|---|---------------------------|---------------------|
| Gold | Eco-System Recycling Co., Ltd. East Plant | JAPAN | C |
| Gold | LT Metal Ltd. | KOREA, REPUBLIC OF | C |
| Gold | Heimerle + Meule GmbH | GERMANY | C |
| Gold | Heraeus Metals Hong Kong Ltd. | CHINA | C |
| Gold | Heraeus Germany GmbH Co. KG | GERMANY | C |
| Gold | Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd. | CHINA | C |
| Gold | Ishifuku Metal Industry Co., Ltd. | JAPAN | C |
| Gold | Istanbul Gold Refinery | TURKEY | C |
| Gold | Japan Mint | JAPAN | C |
| Gold | Jiangxi Copper Co., Ltd. | CHINA | C |
| Gold | Asahi Refining USA Inc. | UNITED STATES OF AMERICA | C |
| Gold | Asahi Refining Canada Ltd. | CANADA | C |
| Gold | JX Nippon Mining & Metals Co., Ltd. | JAPAN | C |
| Gold | Kazzinc | KAZAKHSTAN | C |
| Gold | Kennecott Utah Copper LLC | UNITED STATES OF AMERICA | C |
| Gold | Kojima Chemicals Co., Ltd. | JAPAN | C |
| Gold | LS-NIKKO Copper Inc. | KOREA, REPUBLIC OF | C |
| Gold | Materion | UNITED STATES OF AMERICA | C |
| Gold | Matsuda Sangyo Co., Ltd. | JAPAN | C |
| Gold | Metalor Technologies (Suzhou) Ltd. | CHINA | C |
| Gold | Metalor Technologies (Hong Kong) Ltd. | CHINA | C |
| Gold | Metalor Technologies (Singapore) Pte., Ltd. | SINGAPORE | C |
| Gold | Metalor Technologies S.A. | SWITZERLAND | C |
| Gold | Metalor USA Refining Corporation | UNITED STATES OF AMERICA | C |
| Gold | Metalurgica Met-Mex Penoles, S.A. de C.V | MEXICO | C |
| Gold | Mitsubishi Materials Corporation | JAPAN | C |
| Gold | Mitsui Mining and Smelting Co., Ltd. | JAPAN | C |
| Gold | Nadir Metal Rafineri San. Ve Tic. A.S. | TURKEY | C |
| Gold | Navoi Mining and Metallurgical Combinat | UZBEKISTAN | C |
| Gold | Nihon Material Co., Ltd. | JAPAN | C |
| Gold | Ohura Precious Metal Industry Co., Ltd. | JAPAN | C |
| Gold | MKS PAMP SA | SWITZERLAND | C |
| Gold | PT Aneka Tambang (Persero) Tbk | INDONESIA | C |
| Gold | PX Precinox S.A. | SWITZERLAND | C |
| Gold | Rand Refinery (Pty) Ltd. | SOUTH AFRICA | C |
| Gold | Royal Canadian Mint | CANADA | C |
| Gold | SEMPSA Joyeria Plateria S.A. | SPAIN | C |
| Gold | Shandong Zhaojin Gold & Silver Refinery Co., Ltd. | CHINA | C |
| Gold | Sichuan Tianze Precious Metals Co., Ltd. | CHINA | C |
| Gold | Solar Applied Materials Technology Corp. | TAIWAN, PROVINCE OF CHINA | C |
| Gold | Sumitomo Metal Mining Co., Ltd. | JAPAN | C |
| Gold | Tanaka Kikinzoku Kogyo K.K. | JAPAN | C |
| Gold | Shandong Gold Smelting Co., Ltd. | CHINA | C |
| Gold | Tokuriki Honten Co., Ltd. | JAPAN | C |
| Gold | Torecom | KOREA, REPUBLIC OF | C |
| Gold | Umicore S.A. Business Unit Precious Metals Refining | BELGIUM | C |

| Metal | Smelter or Refiner Name | Country | RMAP Status* |
|--------------|---|--------------------------|---------------------|
| Gold | United Precious Metal Refining, Inc. | UNITED STATES OF AMERICA | C |
| Gold | Valcambi S.A. | SWITZERLAND | C |
| Gold | Western Australian Mint (T/a The Perth Mint) | AUSTRALIA | C |
| Gold | Yamakin Co., Ltd. | JAPAN | C |
| Gold | Yokohama Metal Co., Ltd. | JAPAN | C |
| Gold | Zhongyuan Gold Smelter of Zhongjin Gold Corporation | CHINA | C |
| Gold | Gold Refinery of Zijin Mining Group Co., Ltd. | CHINA | C |
| Gold | SAFINA A.S. | CZECHIA | C |
| Gold | MMTC-PAMP India Pvt., Ltd. | INDIA | C |
| Gold | KGHM Polska Miedz Spolka Akcyjna | POLAND | C |
| Gold | T.C.A S.p.A | ITALY | C |
| Gold | Remondis Argentia B.V. | NETHERLANDS | C |
| Gold | Korea Zinc Co., Ltd. | KOREA, REPUBLIC OF | C |
| Gold | TOO Tau-Ken-Altyn | KAZAKHSTAN | C |
| Gold | Abington Reldan Metals, LLC | UNITED STATES OF AMERICA | C |
| Gold | L'Orfebvre S.A. | ANDORRA | C |
| Gold | Italpreziosi | ITALY | C |
| Gold | WIELAND Edelmetalle GmbH | GERMANY | C |
| Gold | Ogussa Osterreichische Gold- und Silber-Scheideanstalt GmbH | AUSTRIA | C |
| Gold | Bangalore Refinery | INDIA | A |
| Gold | SungEel HiMetal Co., Ltd. | KOREA, REPUBLIC OF | C |
| Gold | Planta Recuperadora de Metales SpA | CHILE | C |
| Gold | NH Recytech Company | KOREA, REPUBLIC OF | C |
| Gold | Eco-System Recycling Co., Ltd. North Plant | JAPAN | C |
| Gold | Eco-System Recycling Co., Ltd. West Plant | JAPAN | C |
| Gold | Metal Concentrators SA (Pty) Ltd. | SOUTH AFRICA | C |
| Gold | WEEEREFINING | FRANCE | C |
| Gold | Gold by Gold Colombia | COLOMBIA | C |
| Gold | Coimpa Industrial LTDA | BRAZIL | C |
| Tantalum | Changsha South Tantalum Niobium Co., Ltd. | CHINA | C |
| Tantalum | Guangdong Rising Rare Metals-EO Materials Ltd. | CHINA | C |
| Tantalum | F&X Electro-Materials Ltd. | CHINA | C |
| Tantalum | XIMEI RESOURCES (GUANGDONG) LIMITED | CHINA | C |
| Tantalum | JiuJiang JinXin Nonferrous Metals Co., Ltd. | CHINA | C |
| Tantalum | Jiujiang Tanbre Co., Ltd. | CHINA | C |
| Tantalum | AMG Brasil | BRAZIL | C |
| Tantalum | Metallurgical Products India Pvt., Ltd. | INDIA | C |
| Tantalum | Mineracao Taboca S.A. | BRAZIL | C |
| Tantalum | Mitsui Mining & Smelting | JAPAN | C |
| Tantalum | NPM Silmet AS | ESTONIA | C |
| Tantalum | Ningxia Orient Tantalum Industry Co., Ltd. | CHINA | C |
| Tantalum | QuantumClean | UNITED STATES OF AMERICA | C |
| Tantalum | Yanling Jincheng Tantalum & Niobium Co., Ltd. | CHINA | C |
| Tantalum | Taki Chemical Co., Ltd. | JAPAN | C |
| Tantalum | Telex Metals | UNITED STATES OF AMERICA | C |
| Tantalum | Ulba Metallurgical Plant JSC | KAZAKHSTAN | C |

| Metal | Smelter or Refiner Name | Country | RMAP Status* |
|----------|--|----------------------------------|--------------|
| Tantalum | Hengyang King Xing Lifeng New Materials Co., Ltd. | CHINA | C |
| Tantalum | D Block Metals, LLC | UNITED STATES OF AMERICA | C |
| Tantalum | FIR Metals & Resource Ltd. | CHINA | C |
| Tantalum | Jiujiang Zhongao Tantalum & Niobium Co., Ltd. | CHINA | C |
| Tantalum | XinXing HaoRong Electronic Material Co., Ltd. | CHINA | C |
| Tantalum | Jiangxi Dinghai Tantalum & Niobium Co., Ltd. | CHINA | C |
| Tantalum | KEMET de Mexico | MEXICO | C |
| Tantalum | TANIOBIS Co., Ltd. | THAILAND | C |
| Tantalum | TANIOBIS GmbH | GERMANY | C |
| Tantalum | Materion Newton Inc. | UNITED STATES OF AMERICA | C |
| Tantalum | TANIOBIS Japan Co., Ltd. | JAPAN | C |
| Tantalum | TANIOBIS Smelting GmbH & Co. KG | GERMANY | C |
| Tantalum | Global Advanced Metals Boyertown | UNITED STATES OF AMERICA | C |
| Tantalum | Global Advanced Metals Aizu | JAPAN | C |
| Tantalum | Resind Industria e Comercio Ltda. | BRAZIL | C |
| Tantalum | Jiangxi Tuohong New Raw Material | CHINA | C |
| Tantalum | RFH Yancheng Jinye New Material Technology Co., Ltd. | CHINA | C |
| Tantalum | PowerX Ltd. | RWANDA | C |
| Tin | Chenzhou Yunxiang Mining and Metallurgy Co., Ltd. | CHINA | C |
| Tin | Alpha | UNITED STATES OF AMERICA | C |
| Tin | PT Aries Kencana Sejahtera | INDONESIA | C |
| Tin | PT Premium Tin Indonesia | INDONESIA | C |
| Tin | Dowa | JAPAN | C |
| Tin | EM Vinto | BOLIVIA (PLURINATIONAL STATE OF) | C |
| Tin | Estanho de Rondonia S.A. | BRAZIL | C |
| Tin | Fenix Metals | POLAND | C |
| Tin | Gejiu Non-Ferrous Metal Processing Co., Ltd. | CHINA | C |
| Tin | China Tin Group Co., Ltd. | CHINA | C |
| Tin | Malaysia Smelting Corporation (MSC) | MALAYSIA | C |
| Tin | Metallic Resources, Inc. | UNITED STATES OF AMERICA | C |
| Tin | Mineracao Taboca S.A. | BRAZIL | C |
| Tin | Minsur | PERU | C |
| Tin | Mitsubishi Materials Corporation | JAPAN | C |
| Tin | Jiangxi New Nanshan Technology Ltd. | CHINA | C |
| Tin | O.M. Manufacturing (Thailand) Co., Ltd. | THAILAND | C |
| Tin | Operaciones Metalurgicas S.A. | BOLIVIA (PLURINATIONAL STATE OF) | C |
| Tin | PT Artha Cipta Langgeng | INDONESIA | C |
| Tin | PT Babel Inti Perkasa | INDONESIA | C |
| Tin | PT Babel Surya Alam Lestari | INDONESIA | C |
| Tin | PT Belitung Industri Sejahtera | INDONESIA | C |
| Tin | PT Bukit Timah | INDONESIA | C |
| Tin | PT Mitra Stania Prima | INDONESIA | C |
| Tin | PT Prima Timah Utama | INDONESIA | C |
| Tin | PT Refined Bangka Tin | INDONESIA | C |

| Metal | Smelter or Refiner Name | Country | RMAP Status* |
|--------------|--|-----------------------------------|---------------------|
| Tin | PT Sariwiguna Binasentosa | INDONESIA | C |
| Tin | PT Stanindo Inti Perkasa | INDONESIA | C |
| Tin | PT Timah Tbk Kundur | INDONESIA | C |
| Tin | PT Timah Tbk Mentok | INDONESIA | C |
| Tin | PT Timah Nusantara | INDONESIA | C |
| Tin | PT Tinindo Inter Nusa | INDONESIA | C |
| Tin | PT Tommy Utama | INDONESIA | C |
| Tin | Rui Da Hung | TAIWAN, REPUBLIC OF CHINA | C |
| Tin | Soft Metais Ltda. | BRAZIL | C |
| Tin | Thaisarco | THAILAND | C |
| Tin | White Solder Metalurgia e Mineracao Ltda. | BRAZIL | C |
| Tin | Yunnan Chengfeng Non-ferrous Metals Co., Ltd. | CHINA | C |
| Tin | Tin Smelting Branch of Yunnan Tin Co., Ltd. | CHINA | C |
| Tin | CV Venus Inti Perkasa | INDONESIA | C |
| Tin | Magnu's Minerais Metais e Ligas Ltda. | BRAZIL | C |
| Tin | PT ATD Makmur Mandiri Jaya | INDONESIA | C |
| Tin | O.M. Manufacturing Philippines, Inc. | PHILIPPINES | C |
| Tin | CV Ayi Jaya | INDONESIA | C |
| Tin | PT Rajehan Ariq | INDONESIA | C |
| Tin | PT Cipta Persada Mulia | INDONESIA | C |
| Tin | Resind Industria e Comercio Ltda. | BRAZIL | C |
| Tin | Super Ligas | BRAZIL | C |
| Tin | Aurubis Beerse | BELGIUM | C |
| Tin | Aurubis Berango | SPAIN | C |
| Tin | PT Bangka Prima Tin | INDONESIA | C |
| Tin | PT Sukses Inti Makmur | INDONESIA | C |
| Tin | PT Menara Cipta Mulia | INDONESIA | C |
| Tin | HuiChang Hill Tin Industry Co., Ltd. | CHINA | C |
| Tin | Guangdong Hanhe Non-Ferrous Metal Co., Ltd. | CHINA | C |
| Tin | Chifeng Dajingzi Tin Industry Co., Ltd. | CHINA | C |
| Tin | PT Bangka Serumpun | INDONESIA | C |
| Tin | Tin Technology & Refining | UNITED STATES OF AMERICA | C |
| Tin | PT Rajawali Rimba Perkasa | INDONESIA | C |
| Tin | Luna Smelter, Ltd. | RWANDA | C |
| Tin | Yunnan Yunfan Non-ferrous Metals Co., Ltd. | CHINA | C |
| Tin | PT Mitra Sukses Globalindo | INDONESIA | C |
| Tin | CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda | BRAZIL | C |
| Tin | CRM Synergies | SPAIN | C |
| Tin | Fabrica Auricchio Industria e Comercio Ltda. | BRAZIL | C |
| Tin | DS Myanmar | MYANMAR | C |
| Tin | PT Putera Sarana Shakti (PT PSS) | INDONESIA | C |
| Tin | Mining Minerals Resources SARL | CONGO, DEMOCRATIC REPUBLIC OF THE | C |
| Tungsten | A.L.M.T. Corp. | JAPAN | C |
| Tungsten | Kennametal Huntsville | UNITED STATES OF AMERICA | C |

| Metal | Smelter or Refiner Name | Country | RMAP Status* |
|----------|--|---------------------------|--------------|
| Tungsten | Guangdong Xianglu Tungsten Co., Ltd. | CHINA | C |
| Tungsten | Chongyi Zhangyuan Tungsten Co., Ltd. | CHINA | C |
| Tungsten | Global Tungsten & Powders Corp. | UNITED STATES OF AMERICA | C |
| Tungsten | Hunan Chenzhou Mining Co., Ltd. | CHINA | C |
| Tungsten | Japan New Metals Co., Ltd. | JAPAN | C |
| Tungsten | Kennametal Fallon | UNITED STATES OF AMERICA | C |
| Tungsten | Wolfram Bergbau und Hutten AG | AUSTRIA | C |
| Tungsten | Xiamen Tungsten Co., Ltd. | CHINA | C |
| Tungsten | Ganzhou Jiangwu Ferrotungsten Co., Ltd. | CHINA | C |
| Tungsten | Jiangxi Yaosheng Tungsten Co., Ltd. | CHINA | C |
| Tungsten | Jiangxi Xinsheng Tungsten Industry Co., Ltd. | CHINA | C |
| Tungsten | Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd. | CHINA | C |
| Tungsten | Malipo Haiyu Tungsten Co., Ltd. | CHINA | C |
| Tungsten | Xiamen Tungsten (H.C.) Co., Ltd. | CHINA | C |
| Tungsten | Jiangxi Gan Bei Tungsten Co., Ltd. | CHINA | C |
| Tungsten | Ganzhou Seadragon W & Mo Co., Ltd. | CHINA | C |
| Tungsten | Asia Tungsten Products Vietnam Ltd. | VIETNAM | C |
| Tungsten | Hunan Shizhuyuan Nonferrous Metals Co., Ltd. Chenzhou Tungsten Products Branch | CHINA | C |
| Tungsten | H.C. Starck Tungsten GmbH | GERMANY | C |
| Tungsten | TANIOBIS Smelting GmbH & Co. KG | GERMANY | C |
| Tungsten | Masan High-Tech Materials | VIETNAM | C |
| Tungsten | Jiangwu H.C. Starck Tungsten Products Co., Ltd. | CHINA | C |
| Tungsten | Niagara Refining LLC | UNITED STATES OF AMERICA | C |
| Tungsten | China Molybdenum Tungsten Co., Ltd. | CHINA | C |
| Tungsten | Philippine Chuangxin Industrial Co., Inc. | PHILIPPINES | C |
| Tungsten | Lianyou Metals Co., Ltd. | TAIWAN, REPUBLIC OF CHINA | C |
| Tungsten | Hubei Green Tungsten Co., Ltd. | CHINA | C |
| Tungsten | Cronimet Brasil Ltda | BRAZIL | C |
| Tungsten | Fujian Xinlu Tungsten Co., Ltd. | CHINA | C |
| Tungsten | Tungsten Vietnam Joint Stock Company | VIETNAM | C |

* This column indicates whether the known smelter or refiner participates in the RMAP as a smelter that sources conflict minerals in a manner that does not finance or benefit armed groups in the Covered Countries. For purposes of this column, “C” denotes that the smelter participates in the RMAP and successfully completed an assessment against the applicable RMAP standard or an equivalent cross-recognized assessment to be deemed “Conformant”, “A” denotes that the smelter has agreed to participate in the RMAP but that the audit process has not yet been completed as of May 29, 2024, and “U” denotes that the smelter or refinery has not received a “conflict free” designation from an independent third party audit program or the facility’s receipt of such designation is undeterminable.

Future Steps

We have communicated our expectations to our foundries and other first tier suppliers regarding our commitment to sourcing minerals for our products in a manner that does not, directly or indirectly, finance or benefit armed groups in the DRC or adjoining countries. Since the end of 2013, we have continued, and expect to continue to engage with our relevant manufacturing foundries and first tier suppliers in order to build their knowledge and capacity so they are able to provide MaxLinear with more complete and accurate information on the source and chain of custody of conflict minerals.